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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07334-315001	Application No. 09/728,721
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant John Bertin	
		Filing Date December 1, 2000	Group Art Unit 1635

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						


Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
M	AB	WO 01/00826 A2	01/04/2001	PCT				
	AC							

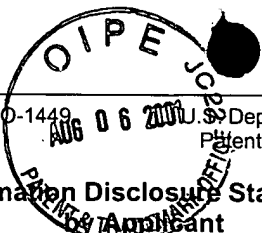
Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
M	AD	Conway et al., "TMS1, a Novel Proapoptotic Caspase Recruitment Domain Protein, Is a Target of Methylation-induced Gene Silencing in Human Breast Cancers," CANCER RESEARCH 60:6236-6242 (2000)
	AE	McConnell et al., "Activation of a Caspase-9-mediated Apoptotic Pathway by Subcellular Redistribution of the Novel Caspase Recruitment Domain Protein TMS1," CANCER RESEARCH 60:6243-6247 (2000)
	AF	Srinivasula et al., "The PYRIN-CARD Protein ASC Is an Activating Adaptor for Caspase-1," J. BIOLOGICAL CHEMISTRY 277(24):21119-21122 (2002)
	AG	Accession No. AB032249 (October 14, 2000)
	AH	Accession No. AF184072 (August 22, 2000)
	AI	Accession No. AF184073 (August 22, 2000)
	AJ	Accession No. AF310103 (November 6, 2000)
	AK	Accession No. AF310104 (November 6, 2000)
M	AL	Accession No. BF302423 (November 23, 2000)

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	Applicant John Bertin		
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U.S. Patent Documents

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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
M	AL	WO99/55134	04/11/99	WIPO			X	
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	PCT Written Opinion dated 6/18/01
	AR	
	AS	
	AT	

Examiner Signature 	Date Considered 1/9/03
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Patent and Trademark Office

Attorney's Docket No.

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Applicant

John Bertin

Filing Date

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Group Art Unit

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							Yes	No
M	AL	WO 99/40102	8/12/99	WIPO				
M	AM	WO 00/06728	2/10/00	WIPO				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
M	AQ	Bertin et al., "Human CARD4 Protein Is A Novel CED-4/Apaf-1 Cell Death Family Member.... J. of Biol. Chem. 274:12955-12958, 1999.
M	AR	Inohara et al., "NOD1, an Apaf-1-like Activator of Caspase-9 and Nuclear Factor kB" J. of Biol. Chem. 274:14560-14567, 1999.
M	AS	Marra et al., EMBL Accession No. AA620157, September 12, 1996.
	AT	

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Sheet 1 of 2

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(37 CFR §1.98(b))

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U.S. Patent Documents

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Foreign Patent Documents or Published Foreign Patent Applications

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							Yes	No
	AC	WO 98/55507	12/10/98	PCT				
	AD	WO 99/47669	9/23/99	PCT				X
	AE	DE 19813839	9/23/99	Germany			X	
	AF							

Other Documents (include Author, Title, Date, and Place of Publication)

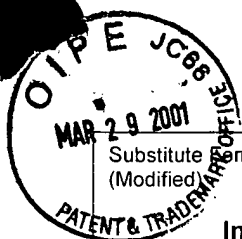
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B	AG	Baker et al., "Transducers of life and death: TNF receptor superfamily and associated proteins" Oncogene 12:1-9, 1996
M	AH	Chinnaiyan et al., "The cell-death machine" Current Biology 6:555-562, 1996
M	AI	Duan et al., "RAIDD is a new death adaptor molecule" Nature 385:86-89, 1997
K	AJ	Epstein, F., "Nuclear factor-kB-A pivotal transcription factor in chronic inflammatory diseases" The New England J. of Medicine 336:1066-1071, 1997
H	AK	Hofman et al., "The CARD domain: a new apoptotic signalling motif" TIBS 22:155-156, 1997
M	AL	Hu et al., "Bcl-X ^L interacts with Apaf-1 and inhibits Apaf-1-dependent caspase-9 activation" Proc. Nat'l. Acad. Sci. USA 95:4386-4391, 1998
M	AM	Humke et al., "ICEBERG: A Novel Inhibitor of Interleukin-1 β Generation" Cell 103:99-111, September 29, 2000
M	AN	Inohara et al., "RICK, a novel protein kinase containing a caspase recruitment domain, interacts with ..." J. of Biol. Chem. 273(20):12296-12300, 1998
K	AO	Li et al., "Cytochrome c and dATP-dependent formation of Apaf-1/caspase-9 complex initiates and apoptotic protease cascade" Cell 91:479-489, 1997
K	AP	Masumoto et al., "ASC, a Novel 22-kDa Protein, Aggregates During Apoptosis of..." J. of Biol. Chem. 274(48):33835-33838, 1999
M	AQ	McCarthy et al., "RIP2 is a novel NF-kB-activating and cell death-inducing kinase" J. of Biol. Chem. 273(27):16968-16975, 1998
M	AR	Miura et al., "Induction of apoptosis in fibroblasts by IL-1 β -converting enzyme, a mammalian homolog..." Cell 75:653-660, 1993
C	AS	Navab et al., "Pathogenesis of Atherosclerosis" American J. of Cardiology 76:18c-23c, 1995

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Substitute Disclosure Form (PTO-1449)

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(37 CFR §1.98(b))

Applicant
John BertinFiling Date
December 1, 2000Group Art Unit
1632**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
M	AT	Reed, J., "Cytochrome c: Can't live with it-Can't live without it" Cell 91:559-562, 1997
	AU	Wallach, D., "Cell death induction by TNF: a matter of self control" TIBS 22:107-109, 1997
	AV	Yan et al., "mE10, a novel caspase recruitment domain-containing proapoptotic molecule" J. of Bio. Chem. 274(15)10287-10292, 1999
	AW	GenBank Accession No. AA160647, Hillier et al., Dec. 1996
	AX	GenBank Accession No. AA160649, Hillier et al., Dec. 1996
	AY	GenBank Accession No. AA278825, Strausberg, Aug. 15, 1997
	AZ	GenBank Accession No. AA302352, Kerlavage, Apr. 18, 1997
	AAA	GenBank Accession No. AA528254, Strausberg, Aug. 5, 1997
	ABB	GenBank Accession No. AA573948, Strausberg, Sep. 12, 1997
	ACC	GenBank Accession No. AA582937, Strausberg, Sep. 26, 1997
	ADD	GenBank Accession No. AA723533, Hillier et al., Jan. 1998
	AEE	GenBank Accession No. AB023416, Masumoto et al., Dec. 1, 1999
	AFF	GenBank Accession No. AI148558, Strausberg, Oct. 28, 1998
	AGG	GenBank Accession No. AI262374, Strausberg, Nov. 13, 1998
	AHH	GenBank Accession No. AI346818, Strausberg, Feb. 2, 1999
	AII	GenBank Accession No. AI570067, Strausberg, May 14, 1999
	AJJ	GenBank Accession No. AI587178, Strausberg, May 14, 1999
	AKK	GenBank Accession No. AI821342, Strausberg, Jul. 9, 1999
	ALL	GenBank Accession No. AW192194, Strausberg, Nov. 29, 1999
	AMM	GenBank Accession No. AW196663, Strausberg, Nov. 29, 1999
	ANN	GenBank Accession No. R84288, Wilson, Aug. 14, 1995

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